

2024 SEC Filing Dates

(Based on 12/31 Fiscal Year End, unless otherwise noted)
Note: 2024 is a Leap Year and all calendar dates and deadlines reflect this.



If a filing date falls on a weekend or holiday,
the deadline is moved to the next business day.

			Corporate Finance			
Month	Submission Type	Due Date	Large Accelerated Filer	Accelerated Filer	Non-Accelerated Filer / Smaller Reporting Company	Investment Management
January	N-MFP2	8				•
	N-CEN (10/31)	17				•
February	N-MFP2	7				•
	N-CEN (11/30)	13				•
	13G & 13G/A, 13H-A	14	•	•	•	•
	13F	14				•
	5	14	•	•	•	
	10-K	29	•			
March	N-PORT-NP, N-PORT-P (12/31)	1				•
	NT 10-K	1	•			
	N-MFP2	7				•
	N-CSR, N-30D	13				•
	NT NCSR	14				•
	10-K	15		•		
	N-CEN (12/31)	16				
	Late 10-K	15	•			
	NT 10-K	18		•		•
	Late N-CSR	28				•
	24F-2	31				•
April	10-K	1			•	
	Late 10-K	1		•		
	NT 10-K	2			•	
	N-MFP2	7				•
	13H-Q	10	•	•	•	•
	Late 10-K	16			•	
	N-CEN (1/31)	15				•
	Proxy Statement or 10-K/A	29	•	•	•	
	20-F or 40-F	29				
	NT 20-F	30	•	•	•	
May	N-MFP2	7				•
	10-Q	10	•	•		
	NT 10-Q	13	•	•		
	N-CEN (2/28)	13				•
	Late 20-F	14	•	•	•	
	10-Q	15			•	
	13F	15				•
	Late 10-Q	15	•	•		
	NT 10-Q	16			•	
	Late 10-Q	20			•	
	N-PORT-NP, N-PORT-P (3/31)	30				•
June	N-MFP2	7				•
	N-CEN (3/31)	14				•
	11-K	28	•	•	•	
July	NT 11-K, 13H-Q	1	•	•	•	•
	N-MFP2	8				•
	N-CEN (4/30)	15				•
	Late 11-K	15	•	•	•	
August	N-MFP2	7				•
	10-Q	9	•	•		
	NT 10-Q	12	•	•		
	10-Q	14			•	
	13F	14				•
	N-CEN (5/31)	14				•
	Late 10-Q	14	•	•		
	NT 10-Q	15			•	
	Late 10-Q	19			•	
	N-PORT-NP, N-PORT-P (6/30)	29				•
	N-PX	31				•
September	N-CSR & N-30D	8				•
	N-MFP2	9				•
	NT NCSR	9				•
	N-CEN (6/30)	13				•
	Late N-CSR	25				•
October	N-MFP2	7				•
	13H-Q	10	•	•	•	•
	N-CEN (7/31)	15				•
November	N-MFP2	7				•
	10-Q	12	•	•		
	NT 10-Q	13	•	•		
	Late 10-Q	18	•	•		
	10-Q	14			•	
	13F, N-CEN (8/31)	14				•
	NT 10-Q	15			•	
	Late 10-Q	19			•	
	N-PORT-NP, N-PORT-P (9/30)	29				•
December	N-MFP2	6				•
	N-CEN (9/30)	16				•

2024 SEC Filing Deadline Highlights



(Based on 12/31 Fiscal Year End, unless otherwise noted)
Note: 2024 is a Leap Year and all calendar dates and deadlines reflect this.

		Large Accelerated Filer	Accelerated Filer	Non-Accelerated Filer
	10-K	Feb 29	March 15	April 1
	NT 10-K	March 1	March 18	April 2
	Late 10-K	March 15	April 1	April 16
1 st Quarter	10-Q	May 10	May 10	May 15
	NT 10-Q	May 13	May 13	May 16
	Late 10-Q	May 15	May 15	May 20
2 nd Quarter	10-Q	August 9	August 9	August 14
	NT 10-Q	August 12	August 12	August 15
	Late 10-Q	August 14	August 14	August 19
3 rd Quarter	10-Q	November 12	November 12	November 14
	NT 10-Q	November 13	November 13	November 15
	Late 10-Q	November 18	November 18	November 19

2024 SEC Holidays

(SEC Closed: No EDGAR Filings)	
January 1 (Mon)	New Year's Day
January 15 (Mon)	Martin Luther King Jr Day
February 19 (Mon)	President's Day
May 27 (Mon)	Memorial Day
June 19 (Wed)	Juneteenth
July 4 (Thurs)	Independence Day
September 2 (Mon)	Labor Day
October 14 (Mon)	Columbus Day
November 11 (Mon)	Veterans Day (observed)
November 28 (Thurs)	Thanksgiving Day
December 25 (Wed)	Christmas Day

EDGAR Timelines and Requirements for Common SEC Form Types

Corporation Finance: compliance deadlines are indicated below. If due date falls on a weekend or SEC holiday, the filing is due on the next business day.	
10-K	For large accelerated filers, due 60 days after fiscal year end; for accelerated filers, due 75 days after fiscal year end; for non-accelerated filers and smaller reporting companies, due 90 days after fiscal year end.
NT 10-K	Due on the first business day after the 10-K due date.
Late 10-K	Subsequent to filing NT 10-K, the Late 10-K deadline is extended 15 calendar days after the actual due date.
Proxy Statement or 10-K/A	If Part III of Form 10-K incorporates by reference information from the proxy statement, the proxy statement must file no later than 120 days after the fiscal year end. If the proxy statement cannot be filed within that 120-day period, an amended 10-K including the Part III information must be filed no later than 120 days after the fiscal year end.
10-Q	For large accelerated filers and accelerated filers, due 40 days after fiscal quarter end; for non-accelerated filers and smaller reporting companies, due 45 days after fiscal quarter end.
NT 10-Q	Due on the first business day after the 10-Q due date.
Late 10-Q	Subsequent to filing NT 10-Q, the Late 10-Q deadline is extended 5 calendar days after the actual due date.
11-K	If subject to ERISA, due 180 days after fiscal year-end; if not subject to ERISA, due 90 days after fiscal year-end. May file via EDGAR or paper.
NT 11-K	Due on the first business day after the 11-K due date.
Late 11-K	Subsequent to filing NT 11-K, the Late 11-K deadline is extended 15 calendar days after the actual due date.
13F	Due from institutional investment managers 45 days after the end of each calendar quarter, and 45 days after each of the 1 st three calendar quarters.
13H-A	All large traders must submit an Annual Filing within 45 days after the end of each full calendar year, except that large traders on Inactive Status are not required to file Form 13H while they are on Inactive Status.
13H-Q	A large trader must file an Amended Filing promptly after the end of the calendar quarter in which any of the information contained in a Form 13H filing becomes inaccurate for any reason.
Ownership Form	
Form 3	Due either within 10 days after the event by which the individual first becomes a reporting person or no later than the effective date of the registration statement for an issuer registering securities for the first time. If filed before 10pm, it receives that day's filing date.
Form 4	Due by the end of the second business day after the transaction. If filed before 10pm, it receives that day's filing date.
Form 5	Due 45 days after the end of the issuer's fiscal year end. If filed before 10pm, it receives that day's filing date.
20-F	A foreign private issuer must file its annual report on this Form within four months after the end of the fiscal year covered by the report for fiscal years ending on or after December 15, 2011.
NT 20-F	Due on the first business day after the 20-F due date.
Late 20-F	Subsequent to filing NT 20-F, the Late 20-F deadline is extended 15 calendar days after the actual due date.
40-F	A Canadian issuer must file its annual report within 120 days after the end of the fiscal year covered by the report.
Investment Management: compliance deadlines are indicated below. If due date falls on a weekend or SEC holiday, the filing is due on the next business day.	
N-CSR(S), N-30D	Must be transmitted to shareholders within 60 days after each half-year end. The EDGAR filing is due ten calendar days after the actual transmittal date. Registered unit investment trusts only file N-30D.
NT NCSR	Due on the first business day after the N-CSR(S) due date.
Late N-CSR	Subsequent to filing an NT NCSR, the N-CRS(S) deadline is extended 15 calendar days after the actual due date.
N-PORT-NP	Reports for the first and second months of the fiscal quarter. File no later than 60 days after the end of that fiscal quarter (non-public).
N-PORT-P	Report for the third month of the fiscal quarter. File no later than 60 days after the end of that fiscal quarter (public).
N-CEN	Within 75 days of the fund's fiscal year-end (75 days after the calendar year-end for unit investment trusts).
Schedule 13G, 13G/A	Due 45 days after calendar year end.
Schedule 13D	Due 10 days after acquisition of more than 5% beneficial ownership.
24F-2	Due 90 days after fiscal year end.
N-PX	Due no later than August 31 of each year.
N-MFP2	Must be submitted by money market funds within five business days after the end of each month.

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