



**COMMAND FINANCIAL**  
Redefining Financial Printing

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# NewsRelease

## Command Successfully Test Files New File Form N-PORT as Part of its Investment Company Reporting Modernization Solution through CAPS

**New York, September 22, 2017:** Command Financial announced today that it has successfully generated and test filed new Form N-PORT in conformance with the Security and Exchange Commission's (SEC's) N-PORT Submission Taxonomy for EDGAR. This new taxonomy, introduced by the SEC in their September 2017 release Draft EDGAR Form N-PORT XML Technical Specification Version 1, is comprised of XML schema definition files that define the structure of EDGAR Form N-PORT submissions.

"The introduction of the SEC's Investment Company Reporting Modernization rule has created a number of challenges for the investment company community including new filing forms that require new data, more data, more frequent filings, and a new filing Schema for Form N-PORT," noted Stephen Penders, President of Command Financial. "Our Command Automated Publishing System (CAPS) is an industry leading content management and document assembly tool that helps manage and re-purpose information required in shareholder reports, prospectuses, other documents, and now the new file Forms N-PORT and N-CEN. Our successful test filing of N-PORT is just the latest in our efforts to help our clients meet these new challenges. Our efforts of the past two years have included analysis of the Modernization Rule, extensive communication with our clients in the fund industry including focus groups and one-on-one dialogs, development of a proof of concept that will enable CAPS to automate document creation for new file form types, creation of multiple generations of operational N-PORT modules in CAPS, and our successful test filing today."

Added John Gong, Vice President of Investment Company Services for Command, "Our CAPS-based solution for N-PORT and N-CEN allows for importing unlimited data sources to accommodate the new and expanded data types and requirements. We also have created a host of validation and verification checks to help ensure the integrity and consistency of client data; a user friendly and configurable "Dashboard" that allows clients to see where the N-PORT workflow process is in terms of a macro view, as well as a granular fund by fund view of what has been imported, reviewed, approved, filing status; and other best-in-class tools."

If your investment company is looking for an N-PORT or N-CEN solution, whether a hosted application or on a Managed Services basis, we would welcome the opportunity to discuss and demonstrate CAPS. For more information contact your CAPS representative or email us at [salesinfo@commandfinancial.com](mailto:salesinfo@commandfinancial.com)

### About Command Financial:

Command Financial is a world-class leader in providing superior and integrated document lifecycle management solutions for leading public corporations, law firms, and investment companies. Command continually redefines financial printing by leveraging technology and combining it with personalized service to provide unparalleled cost efficiencies as well as the highest quality products and service levels related to regulatory and compliance requirements. The Company, founded in 1990, serves its clients nationwide and on an around-the-clock basis from its headquarters in New York City.